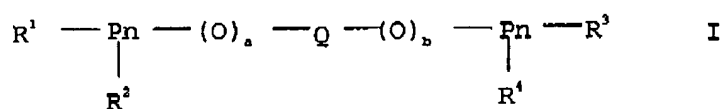


Application No. 10/527,635
 Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US

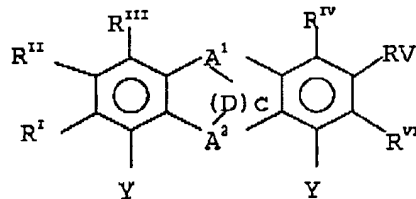
AMENDMENTS TO THE CLAIMS

1. (Original) A process for preparing dialdehydes and/or ethylenically unsaturated monoaldehydes by reacting at least one compound having at least two ethylenically unsaturated double bonds with carbon monoxide and hydrogen in the presence of a hydroformylation catalyst comprising at least one complex of a metal of transition group VIII with at least one ligand selected from among chelating pnictogen compounds of the formula I,



where

Q is a bridging group of the formula



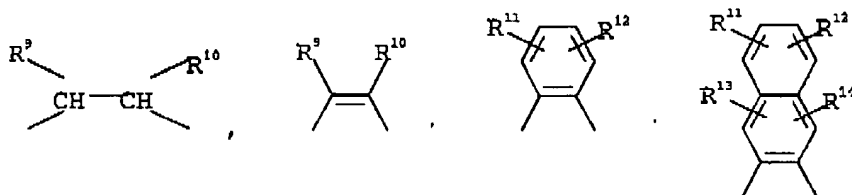
where

A¹ and A² are each, independently of one another, O, S, SiR^aR^b, NR^c or CR^dR^e, where

R^a, R^b and R^c are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl,

R^d and R^e are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl or the group R^d together with a further group R^d or the group R^e together with a further group R^e form an intramolecular bridging group D,

D is a divalent bridging group selected from among the groups



where

R^9 and R^{10} are each, independently of one another, hydrogen, alkyl, cycloalkyl, aryl, halogen, trifluoromethyl, carboxyl, carboxylate or cyano or are joined to one another to form a C_3 - C_4 -alkylene bridge,

R^{11} , R^{12} , R^{13} and R^{14} are each, independently of one another, hydrogen, alkyl, cycloalkyl, aryl, halogen, trifluoromethyl, $COOH$, carboxylate, cyano, alkoxy, SO_3H , sulfonate, NE^1E^2 , alkylene- $NE^1E^2E^{3+}X^-$, acyl or nitro,

c 0 or 1,

Y is a chemical bond,

R^I , R^{II} , R^{III} , R^{IV} , R^V and R^{VI} are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl, hetaryl, $COOR^f$, COO^-M^+ , SO_3R^f , $SO_3^-M^+$,

Application No. 10/527,635
 Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US

NE^1E^2 , $NE^1E^2E^{3+}X^-$, alkylene- $NE^1E^2E^{3+}X^-$, OR^f , SR^f , $(CHR^ECH_2O)_xR^f$,
 $(CH_2N(E^1))_xR^f$, $(CH_2CH_2N(E^1))_xR^f$, halogen, trifluoromethyl, nitro, acyl or cyano,

where

R^f , E^1 , E^2 and E^3 are identical or different radicals selected from among hydrogen, alkyl, cycloalkyl and aryl,

R^E is hydrogen, methyl or ethyl,

M^+ is a cation,

X^- is an anion, and

x is an integer from 1 to 120,

or

two adjacent radicals selected from among R^I , R^{II} , R^{III} , R^{IV} , R^V and R^{VI} together with two adjacent carbon atoms of the benzene ring to which they are bound for a fused ring system having 1, 2 or 3 further rings,

a and b are each, independently of one another, 0 or 1,

Pn is a pnictogen atom selected from among the elements phosphorus, arsenic and antimony,

and

Application No. 10/527,635
Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US

R^1, R^2, R^3, R^4 are each, independently of one another, hetaryl, hetaryloxy, alkyl, alkoxy, aryl, aryloxy, cycloalkyl, cycloalkoxy, heterocycloalkyl, heterocycloalkoxy or an NE^1E^2 group, with the proviso that R^1 and R^3 are pyrrole groups bound via the nitrogen atom to the pnicoen atom Pn

or R^1 together with R^2 and/or R^3 together with R^4 form a divalent group E of the formula

Py-I-W

where

Py is a pyrrole group which is bound via the pyrrole nitrogen atom to the pnicoen atom Pn,

I is a chemical bond or O, S, SiR^aR^b , NR^c , substituted or unsubstituted C_1 - C_{10} -alkylene or CR^hR^i ,

W is cycloalkyl, cycloalkoxy, aryl, aryloxy, hetaryl or hetaryloxy,

and

R^h and R^i are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl,

or R^1 together with R^2 and/or R^3 together with R^4 form a bispyrrole group of the formula

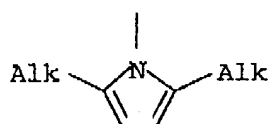
Py-I-Py

Application No. 10/527,635
Reply to Office Action of January 27, 2006

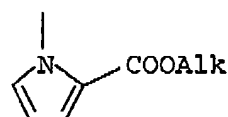
Docket No.: 13111-00007-US

bound via the nitrogen atoms to the pnictogen atom Pn.

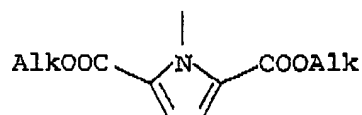
2. (Original) A process as claimed in claim 1, wherein at least one ligand of the formula I, in which the radicals R^1 , R^2 , R^3 and R^4 are selected independently from among groups of the formulae I.a to I.k



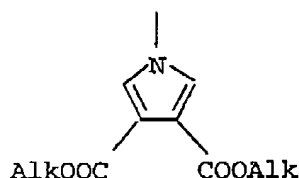
(I.a)



(I.b)



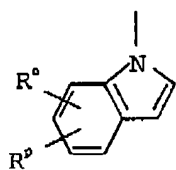
(I.c)



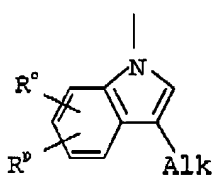
(I.d)

Application No. 10/527,635
 Reply to Office Action of January 27, 2006

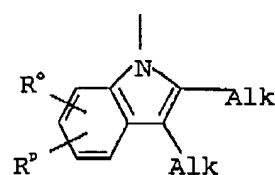
Docket No.: 13111-00007-US



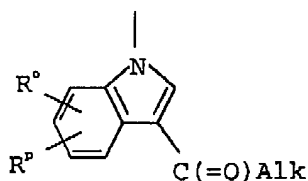
(I.e)



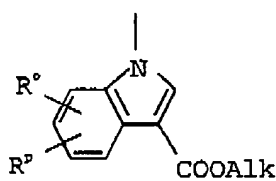
(I.f)



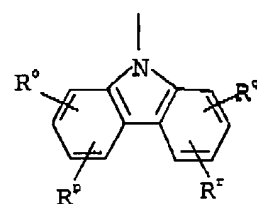
(I.g)



(I.h)



(I.i)



(I.k)

where

Alk is a C₁-C₄-alkyl group and

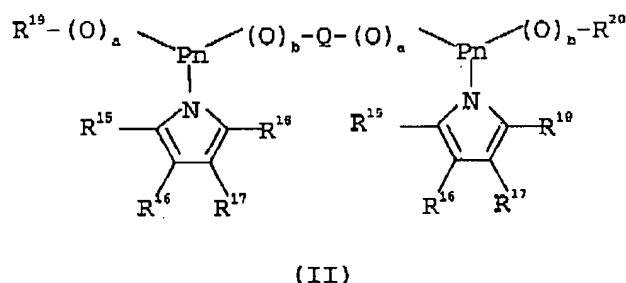
R^o, R^p, R^q and R^r are each, independently of one another, hydrogen, C₁-C₄-alkyl, C₁-C₄-alkoxy, acyl, halogen, trifluoromethyl, C₁-C₄-alkoxycarbonyl or carboxyl, is used.

Application No. 10/527,635

Docket No.: 13111-00007-US

Reply to Office Action of January 27, 2006

3. (Currently amended) A process as claimed in claim 2, wherein at least one ligand of the formula I, in which the radicals R^1 , R^2 , R^3 and R^4 are each, independently of one another, a 3-alkylindolyl group, ~~preferably a 3-methylindolyl group~~, is used.
4. (Previously presented) A process as claimed in claim 1, wherein the chelating pnictogen compound of the formula I is selected from among chelating pnictogen compounds of the formula II,



where

R^{15} , R^{16} , R^{17} and R^{18} are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl, hetaryl, $W'COOR^k$, $W'COO^-M^+$, $W'(SO_3)R^k$, $W'(SO_3)^-M^+$, $W'PO_3(R^k)(R^l)$, $W'(PO_3)^{2-}(M^+)_2$, $W'NE^4E^5$, $W'(NE^4E^5E^6)^+X^-$, $W'OR^k$, $W'SR^k$, $(CHR^lCH_2O)_yR^k$, $(CH_2NE^4)_yR^k$, $(CH_2CH_2NE^4)_yR^k$, halogen, trifluoromethyl, nitro, acyl or cyano,

where

W' is a single bond, a heteroatom or a divalent bridging group having from 1 to 20 bridge atoms,

Application No. 10/527,635
Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US

R^k, E^4, E^5, E^6 are identical or different radicals selected from among hydrogen, alkyl, cycloalkyl and aryl,

R^l is hydrogen, methyl or ethyl,

M^+ is a cation equivalent,

X^- is an anion equivalent and

y is an integer from 1 to 240,

where two adjacent radicals R^{15}, R^{16}, R^{17} and R^{18} together with the carbon atoms of the pyrrole ring to which they are bound may also form a fused ring system having 1, 2 or 3 further rings,

with the proviso that at least one of the radicals R^{15}, R^{16}, R^{17} and R^{18} is not hydrogen and R^{19} and R^{20} are not joined to one another,

R^{19} and R^{20} are each, independently of one another, cycloalkyl, heterocycloalkyl, aryl or hetaryl, or R^{19} together with R^{15} or R^{16} and/or R^{19} together with R^{17} or R^{18} form a divalent group

-I-W-

where

I is a chemical bond or O, S, SiR^aR^b , NR^c or substituted or unsubstituted C_1 - C_{10} -alkylene, preferably CR^hR^i , where R^a, R^b, R^c, R^h and R^i are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl and

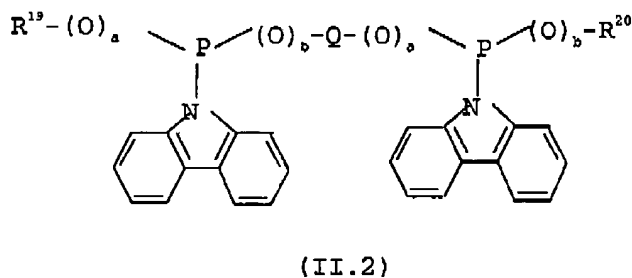
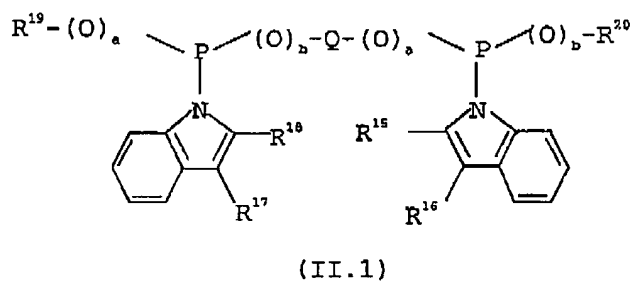
Application No. 10/527,635

Docket No.: 13111-00007-US

Reply to Office Action of January 27, 2006

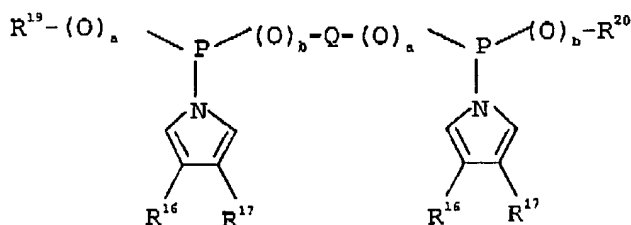
W is cycloalkyl, cycloalkoxy, aryl, aryloxy, hetaryl or hetaryloxy.

5. (Currently amended) A process as claimed in claim 1, wherein the chelating pnictogen compound of the formula I is a chelating pnictogen compound of the formulae II.1 to II.3,



Application No. 10/527,635
 Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US



(II.3)

where

R^{15} , R^{16} , R^{17} , R^{18} , Q , a and b are as defined in claim 4,

Q , a and b are as defined in claim 1,

R^{15} , R^{16} , R^{17} and R^{18} are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl, hetaryl, $W'COOR^k$, $W'COOM^+$, $W'(SO_3)R^k$, $W'(SO_3)M^+$, $W'PO_3(R^k)(R^l)$, $W'(PO_3)^{2-}(M^+)_2$, $W'NE^4E^5$, $W'(NE^4E^5E^6)^+X$, $W'OR^k$, $W'SR^k$, $(CHR^lCH_2O)_vR^k$, $(CH_2NE^4)_vR^k$, $(CH_2CH_2NE^4)_vR^k$, halogen, trifluoromethyl, nitro, acyl or cyano,

wherein

W' is a single bond, a heteroatom or a divalent bridging group having from 1 to 20 bridge atoms,

R^k , E^4 , E^5 , E^6 are identical or different radicals selected from among hydrogen, alkyl, cycloalkyl and aryl,

Application No. 10/527,635
 Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US

R¹ is hydrogen, methyl or ethyl.

M⁺ is a cation equivalent.

X⁻ is an anion equivalent and

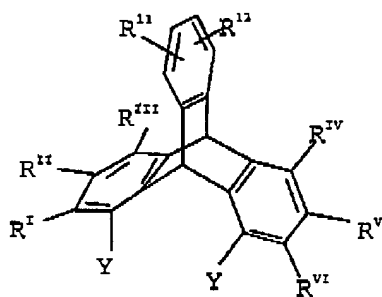
y is an integer from 1 to 240.

where two adjacent radicals R¹⁵, R¹⁶, R¹⁷ and R¹⁸ together with the carbon atoms of the pyrrole ring to which they are bound may also form a fused ring system having 1, 2 or 3 further rings.

where at least one of the radicals R¹⁶ and R¹⁷ in the formula II.3 is not hydrogen,

R¹⁹ and R²⁰ are each, independently of one another, cycloalkyl, heterocycloalkyl, aryl or hetaryl.

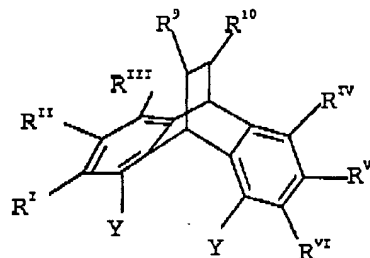
6. (Previously presented) A process as claimed in claim 1, wherein the bridging group Q is a triptycenediyl group of the formula



Application No. 10/527,635
 Reply to Office Action of January 27, 2006

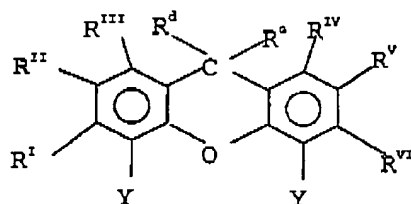
Docket No.: 13111-00007-US

or the formula



where R^I , R^{II} , R^{III} , R^{IV} , R^V and R^{VI} , R^9 , R^{10} , R^{11} and R^{12} are as defined in claim 1.

7. (Previously presented) A process as claimed in claim 1, wherein the bridging group Q is a xanthenediyl group of the formula



where R^I , R^{II} , R^{III} , R^{IV} , R^V and R^{VI} and Y are as defined in claim 1 and R^d and R^e are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl.

8. (Previously presented) A process as claimed in claim 1, wherein a molar ratio of ligand to metal of transition group VIII of from 1:1 to 1000:1 is set in the reaction mixture.

Application No. 10/527,635
Reply to Office Action of January 27, 2006

Docket No.: 13111-00007-US

9. (Previously presented) A process as claimed in claim 1, wherein the reaction is carried out at from 40 to 80°C.
10. (Previously presented) A process as claimed in claim 1, wherein the compound having at least two ethylenically unsaturated double bonds which is used is a α,ω -diolefin.
11. (Previously presented) A process as claimed in claim 1, wherein
 - (i) a compound having a least two ethylenically unsaturated double bonds is subjected to the hydroformylation reaction in a reaction zone,
 - (ii) an output is taken from the reaction zone and is fractionated to give a fraction enriched in unsaturated monoaldehydes and a fraction depleted in unsaturated monoaldehydes, and
 - (iii) the fraction depleted in unsaturated monoaldehydes is recirculated, optionally after work up, to the reaction zone.
12. (New) A process as claimed in claim 2, wherein at least one ligand of the formula I, in which the radicals R^1 , R^2 , R^3 and R^4 are each, independently of one another, a 3-methylindolyl group.